

INFORMATION DISCLOSURE STATEMENT

Applicant	:	Thomas W. Davison et al.
App. No.	:	Unknown
Filed	:	Herewith
For	:	CANNULA FOR RECEIVING SURGICAL INSTRUMENTS
Examiner	:	Unknown
Group Art Unit	:	Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 82 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references listed, are enclosed.

This Information Disclosure Statement is being filed within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: November 14, 2003

By: 
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Registration No. 51,212
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. ENDIUS.5CP1C9	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Thomas W. Davison et al.	
		FILING DATE Herewith	GROUP -3734-
(USE SEVERAL SHEETS IF NECESSARY)			

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1	1,170,324	2/1916	Pomerene			
2	3,044,461	7/1962	Murdock			
3	3,503,398	3/1970	Fogarty et al.			
4	3,789,852	2/1974	Kim et al.			
5	4,449,532	5/22/84	Storz			
6	4,451,256	5/29/84	Weikl et al.			
7	4,545,374	10/8/85	Jacobson			
8	4,601,713	7/1986	Fuqua			
9	4,716,901	1/1988	Jackson et al.			
10	4,863,133	9/5/89	Bonnell			
11	4,899,729	2/1990	Gill et al.			
12	4,984,564	1/15/91	Yuen			
13	4,921,478	5/1990	Solano et al.			
14	5,139,511	8/1992	Gill et al.			
15	5,163,949	11/1992	Bonutti			
16	5,190,561	3/1993	Graber			
17	5,196,023	3/1993	Martin			
18	5,197,971	3/1993	Bonutti			
19	5,295,994	3/22/94	Bonutti			
20	5,312,417	5/1994	Wilk			
21	5,313,962	5/1994	Obenchain			
22	5,354,302	10/1994	Ko			
23	5,370,647	12/1994	Graber et al.			
24	5,370,659	12/1994	Sakashita			
25	5,417,203	5/1995	Tovey et al.			
26	5,439,464	8/8/95	Shapiro			
27	5,443,479	8/1995	Bressi, Jr.			
28	5,454,365	10/3/95	Bonutti			

EXAMINER	DATE CONSIDERED
•EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
29		5,490,819	2/1996	Nicholas et al.			
30		5,529,571	6/1996	Daniel			
31		5,601,590	2/11/97	Bonutti et al.			
32		5,667,520	9/1997	Bonutti			
33		5,690,606	11/1997	Slotman			
34		5,707,359	1/1998	Bufalini			
35		5,762,629	6/1998	Kambin			
36		5,782,919	7/1998	Zdeblick et al.			
37		5,792,044	8/1998	Foley et al.			
38		5,795,289	8/1998	Wytttenbach			
39		5,827,319	10/1998	Carlson et al.			
40		5,851,214	12/1998	Larsen et al.			
41		5,8654,802	2/2/99	Yoon et al.			
42		5,902,231	5/1999	Foley et al.			
43		5,954,635	9/1999	Foley et al.			
44		5,961,499	10/1999	Bonutti et al.			
45		5,976,161	11/1999	Kirsch et al.			
46		5,997,508	12/1999	Lunn et al.			
47		6,120,437	09/19/00	Yoon et al.			
48		6,126,671	10/2000	Richards et al.			
49		6,152,871	11/2000	Foley et al.			
50		6,162,236	12/2000	Osada			
51		6,171,299 B1	1/2001	Bonutti			
52		6,187,000	2/13/01	Davison et al.			
53		6,312,443 B1	11/2001	Stone			
54		6,338,730 B1	1/2002	Bonutti et al.			
55		6,358,266 B1	3/2002	Bonutti			
56		6,371,968 B1	4/16/02	Kogasaka			

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
57		6,524,320	2/25/03	DiPoto			
58		6,383,195 B1	5/2002	Richard			
59		6,494,893 B2	12/2002	Dubrul et al.			
60		2002/0002360 A1	1/2002	Orth et al.			
61		2003/0009130 A1	1/2003	Stecker et al.			
62		2003/0014068 A1	1/16/03	Bonutti			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
63		WO 95/22285	8/1995	PCT			
64		EP 0807415 A2	19/11/97	EPO			
65		WO 01/54560 A2	2/8/01	PCT			

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
66	"Minimally Invasive Update on Danek" Endius Marketing Bulletin, Atavi Atraumatic Spine Fusion System (2002)
67	"How do I decompress using Atavi System?" Endius Marketing Bulletin, Atavi Atraumatic Spine Fusion System (2002)
68	"A Minimally Invasive Technique for Decompression of the Lumbar Spine", Guiot, Bernard H., M.D., Khoo, Larry T., M.D., Fessler, Richard G., M.D., Ph.D., SPINE, 27, 4: 432-438, 2002
69	"Minimal Access Spinal Technologies", Medtronic Sofamor Danek, Orthopedics Today, 1-20, 2002
70	"Percutaneous pedicle screw fixation of the lumbar spine", Foley, Kevin T., M.D., Gupta, Sanjay K., M.D., Justis, Jeff R., B.S., Sherman, Michael C., M.S., Neurosurg Focus, 10: 1-8, 2001
71	METRx Microdiscectomy Surgical Technique, Medtronic Sofmor Danek, 2001 as described by: Donald L. Hilton, Jr., M.D., F.A.C.S. and Sylvain Palmer, M.D., F.A.C.S.
72	"The Next Step in Minimally Invasive Discectomy Utilizing The Operating Microscope", Medtronic Sofamor Danek, METRx MicroDiscectomy System, 2000
73	"An Evolution in Minimally Invasive Spine Surgery", Medtronic Sofamor Danek, METRx MicroEndoscopic Discectomy, 1999
74	"Endoscopic Transforminal Lumbar Discectomy and Reconfiguration: A Postero-lateral Approach into the Spinal Canal" by Ditworth, David A., M.D., Surg Neurol, 49: 588-598 (1998)
75	"Arthroscopic Lumbar Intervertebral Fusion" by Kambin, Adult Spine: Principles and Practice, pgs. 2037-2046 (1997)

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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
76	"Arthroscopic Techniques for Spinal Surgery" by Kambin, Operative Arthroscopy, Second Edition, pgs. 1215-1225 (1996)
77	"Diagnostic and Therapeutic Spinal Arthroscopy" by Kambin, Neurosurgery Clinics of North America, Vol. 7, No. 1, pgs. 65-76 (1/1996)
78	"The Role of Minimally Invasive Surgery in Spinal Disorders" by Kambin, Advances in Operative Orthopaedics, Vol. 3, pgs. 147-171 (1995)
79	"Pedicle Screw Placement With Intraosseous Endoscopy", Stauber, Martin H., M.D., Bassett, George S., M.D., SPINE, 19, 1: 57-61, 1994
80	"Arthroscopic Microdiscectomy" by Kambin, Mount Sinai J. of Medicine, Pgs. 159-164 (3/1991)
81	"Arthroscopic Lumbar Interbody Fusion", Kambin, Parviz, Publisher Unknown, Chapter 77, 1055-1066, Date Unknown
82	"Posterolateral Percutaneous Lumbar Interbody Fusion", Kambin, Parviz, Publisher Unknown, Chapter 9:117-121, Date Unknown

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